



MIT.8926

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Kimerling et al.

GROUP: 1774

SERIAL NO: 09/997,107

EXAMINER: L.D. Ferguson

FILED: 11/29/2001

FOR: THERMALLY AND ELECTRICALLY CONDUCTING HIGH INDEX
CONTRAST MULTI-LAYER MIRRORS AND DEVICESMail Stop DD
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450RECEIVED
JUL 16 2003
GROUP 1700

Sir:

INFORMATION DISCLOSURE STATEMENT

In compliance with 37 C.F.R. §§1.56, 1.97, and 1.98, Applicant submits copies of the documents listed on the attached Form PTO-1449.

The listed documents were recently cited in a corresponding PCT application, and a copy of the International Search Report is being submitted herewith for purposes of convenience.

The Commissioner is authorized to charge Deposit Order Account No. 19-0079 for any further fee that is required.

Respectfully submitted,

Matthew E. Connors
Registration No. 33,298
Samuels, Gauthier & Stevens, LLP
225 Franklin Street, Suite 3300
Boston, Massachusetts 02110
Telephone: (617) 426-9180
Extension: 112

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Mail Stop DD, Commissioner of Patents, Alexandria, VA 22313-1450.

Emily C. Porell

07/10/2003
Date

FORM PTO-1449 SAMUELS, GAUTHIER & STEVENS LLP
(Rev. 5/92) 225 Franklin Street, Boston, MA 02110
Telephone: (617) 426-9180

MIT.8926
ATTORNEY DOCKET NO.
Kimerling et al.
APPLICANT:
11/29/2001
FILING DATE:

09/997,107
SERIAL NO.
1774
GROUP:
L.D. Ferguson
EXAMINER:

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|--------------------|------------|------|-------|----------|-------------------------------|
| | AA | 5,568,499 | 10/22/1996 | Lear | | | 04/07/1995 |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |

RECEIVED
JUL 16 2003
GROUP 1700

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO |
|---------------------|----|--------------------|------------|---------|-------|----------|-----------------------|
| | AJ | 0 978 737 A1 | 02/09/2000 | EP | | | YES |
| | AK | | | | | | |
| | AL | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| EXAMINER INITIAL | | |
|---------------------|----|--|
| | AM | "Improved Electrical and Thermal Properties of InP-AlGaAsSb Bragg Mirrors for Long-Wavelength Vertical-Cavity Lasers," Almuneau et al. <i>IEEE Photonics Technology Letters</i> . October 2000. Vol. 12, No. 10. |
| | AN | "Optical Filters for Plasma Display Panels Using Organic Dyes and Sputtered Multilayer Coatings," Okamura et al. <i>Journal of Vacuum Science Technology</i> . Jul/Aug 2001. |
| | AO | |

EXAMINER

DATE CONSIDERED

EXAMINER:

Initial if citati n c nsid r d, whether r n t citati n is in c nf rmance with
MPEP 609; draw lin thr ugh citation if n t in c nformance and n t
c nsid red. Includ copy f this form with n xt c mmunicati n t applicant.